

# CSE302 Automatic Control Engineering

## Lecture 2: Mathematical Modeling of Control Systems



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## Lecture: 2

# Mathematical Modeling of Control Systems

- Mathematical Models
- Differential Equation Model
- Block Diagram Representation

# Mathematical Models

## Mathematical Model

A set of mathematical equations (e.g., differential equations) that describes the input-output behavior of a system.

- These models are useful for **simulation**, prediction/forecasting, design/performance evaluation, and **analysis and design** of control systems.

## Lumped vs. Distributed Systems

- lumped system has a finite number of state variables
- distributed system has an infinite number of state variables

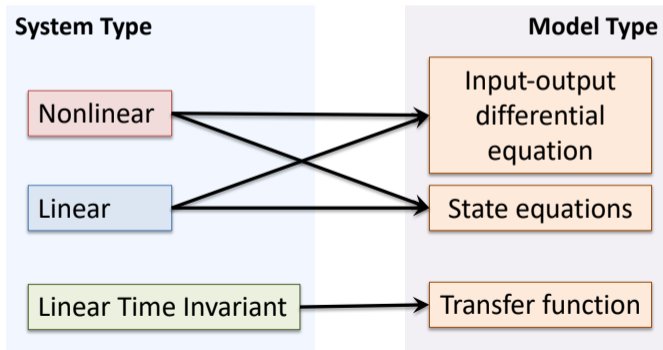
## state variables

a set of variables whose values at any moment completely characterize the system

# Mathematical Models

There are different types of lumped-parameter models. The mostly used:

- Differential equation model (for linear and nonlinear systems)
- Transfer function model (for linear time invariant systems)
- State space model (for linear and nonlinear, SISO, and MIMO systems)



# Differential Equation Model

a time domain mathematical model of control systems.

- For linear systems, we can often represent the system dynamics through an  $n^{\text{th}}$  order ordinary differential equation (ODE):

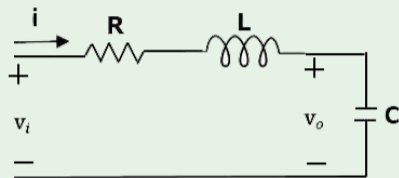
$$y^{(n)}(t) + a_1 y^{(n-1)}(t) + a_2 y^{(n-2)}(t) + \cdots + a_{n-1} \dot{y}(t) + a_n y(t) = b_0 u^{(m)}(t) + b_1 u^{(n-1)}(t) + b_2 u^{(n-2)}(t) + \cdots + b_{n-1} \dot{u}(t) + b_m u(t)$$

- Input:  $u(t)$ ; Output:  $y(t)$ , system parameters:  $a_1, \dots, a_n; b_0, \dots, b_m$
- The  $y^{(k)}$  notation means we're taking the  $k^{\text{th}}$  derivative of  $y(t)$
- system order is the order of the ODE
- Typically,  $m > n$

# Differential Equation Model

## Example

Derive the model of the following series RLC circuit with input voltage applied to circuit  $v_i$  and voltage across the capacitor,  $v_o$  as output.



- Mesh equation:  $v_i = Ri + L\frac{di}{dt} + v_o$
- Substituting with capacitor current  $i = C\frac{dv_o}{dt}$

$$v_i = RC\frac{dv_o}{dt} + LC\frac{d^2v_o}{dt^2} + v_o \Rightarrow \frac{d^2v_o}{dt^2} + \left(\frac{R}{L}\right)\frac{dv_o}{dt} + \left(\frac{1}{LC}\right)v_o = \left(\frac{1}{LC}\right)v_i$$

- The above equation is a second order **differential equation**.

# Transfer Function

## Transfer Function

the ratio of Laplace transform of output and Laplace transform of input by assuming all the initial conditions are zero.

$\mathcal{L}\{u(t)\} = U(s)$ ,  $\mathcal{L}\{y(t)\} = Y(s)$ ,  $\mathcal{L}$  : is the Laplace operator

$$\text{Transfer Function} = \left. \frac{\mathcal{L}\{y(t)\}}{\mathcal{L}\{x(t)\}} \right|_{IC=0} = \frac{Y(s)}{X(s)}$$

- Given that ODE description, we can take the Laplace Transform:

$$H(s) = \frac{Y(s)}{U(s)} = \frac{s^m + b_{m-1}s^{m-1} + \dots + b_0}{s^n + a_{n-1}s^{n-1} + \dots + a_0}$$

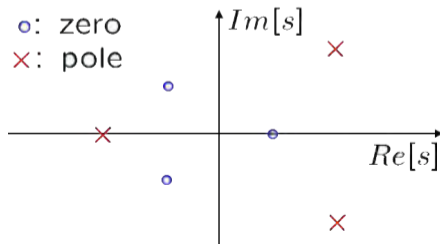
- denominator polynomial order  $>$  numerator polynomial order, transfer function is said to be **proper**. Otherwise **improper**

# Transfer Function

- the transfer function  $H(s)$  of the system is given as:

$$H(s) = \frac{Y(s)}{U(s)} = \frac{s^m + b_{m-1}s^{m-1} + \dots + b_0}{s^n + a_{n-1}s^{n-1} + \dots + a_0}$$

- $s$  is a complex variable (**complex frequency**) and is given as:  $s = \delta + j\omega$
- Roots of **numerator** are called the **zeros**
- Roots of the **denominator** are called the **poles**
- pole-zero plot:





# Transfer Function

## Example

- Given:  $H(s) = \frac{2s + 1}{s^3 - 4s^2 + 6s - 4}$
- Zeros:  $z_1 = -0.5$
- Poles: solve  $s^3 - 4s^2 + 6s - 4 = 0$ ,
- use MATLAB `roots` command:

```
1 > poles = roots[1 -4 6 -4]
2 poles =
3     2,
4     1 + j, 1 - j
```

- Factored form:

$$H(s) = \frac{2s + 0.5}{(s - 2)(s - 1 - j)(s - 1 + j)}$$

# Analyzing Generic Physical Systems

## Seven-step algorithm:

- 1 Identify dynamic variables, inputs ( $u$ ), and system outputs ( $y$ )
- 2 Focus on one component, analyze the dynamics (physics) of this component
  - ▶ How? Use Newton's Equations, KVL, or thermodynamics laws, etc.
- 3 After that, obtain an nth order ODE:

$$\sum_{i=1}^n \alpha_i y^{(i)}(t) = \sum_{j=1}^m \beta_j u^{(j)}(t)$$

- 4 Take the Laplace transform of that ODE
- 5 Combine the equations to eliminate internal variables
- 6 Write the transfer function from input to output
- 7 For a certain control  $U(s)$ , find  $Y(s)$ , then  $y(t) = \mathcal{L}^{-1}\{Y(s)\}$

# Transfer Function

## Examples/Quiz

- For the following transfer functions, determine
  - ▶ Whether the transfer function is proper or improper
  - ▶ Poles of the system
  - ▶ zeros of the system
  - ▶ Order of the system

a)  $G(s) = \frac{s + 3}{s(s + 2)}$

b)  $G(s) = \frac{s}{s(s + 1)(s + 2)(s + 3)}$

c)  $G(s) = \frac{(s + 3)^2}{s(s^2 + 10)}$

d)  $G(s) = \frac{s^2(s + 1)}{s(s + 10)}$

	proper/impr.	Poles	zeros	Order
a)				
b)				
c)				
d)				

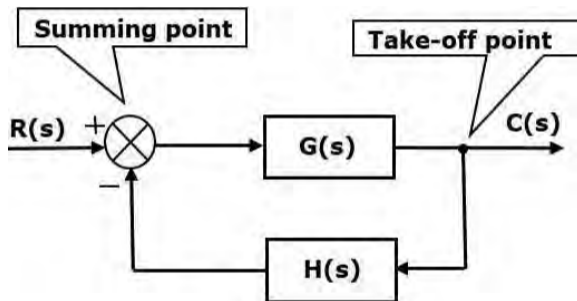
# Block Diagram

## Representation of Control Systems

# Introduction

## Block Diagram

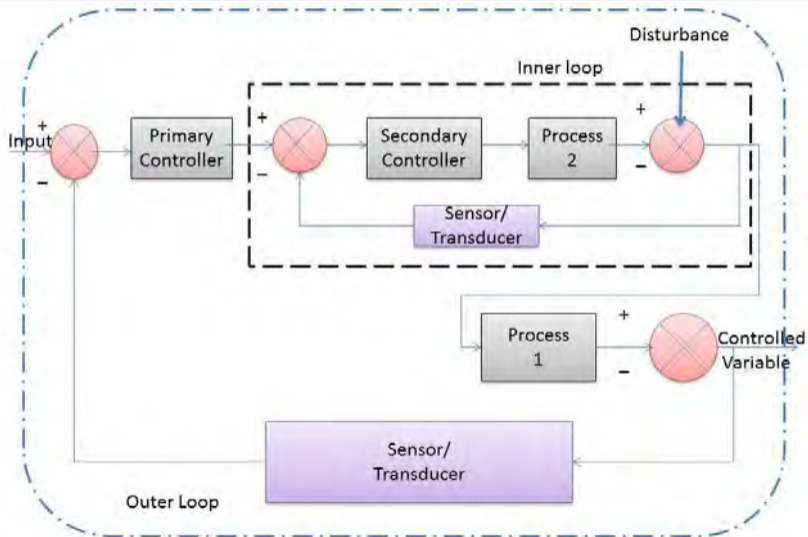
a shorthand pictorial representation of the cause-and-effect relationship of a system.



- the block usually contains a description of or the name of the element, gain, or the symbol for the mathematical operation to be performed on the input to yield the output.
- The arrows represent the direction of information or **signal flow**.

# Examples of Block Diagrams

## Temperature Control System



# Importance of Block Diagrams

- Graphical representation of interconnected systems are important
- A system may consist of multiple subsystems: the output of one may be the input to another, and so on
- Each subsystem is represented by a functional block, labeled with the corresponding transfer function
- Blocks are connected by arrows to indicate signal flow directions

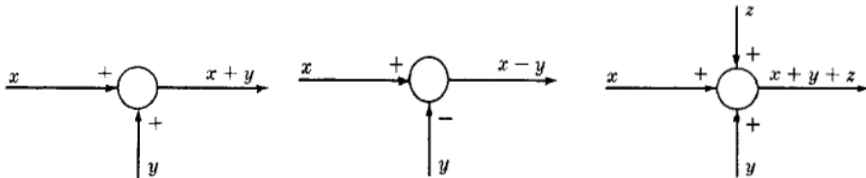
## Advantages:

- Easy for visualization purpose
- Can represent a class of similar systems
- Most importantly: can infer overall relationship between inputs and outputs, and hence analyze the system stability and performance

# Block Diagram Building Blocks

## Summing Point

- indicates the operations of addition and subtraction
- represents by a circle (with or without X inside) with the appropriate plus or minus sign associated with arrows into the circle.
- The output is the **algebraic sum** of the inputs.
- Any number of inputs may enter a summing point.

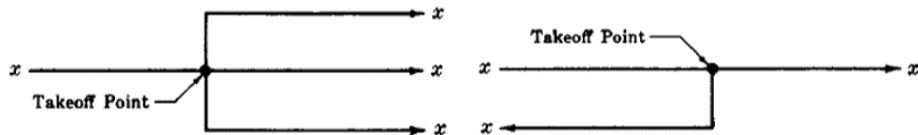




# Block Diagram Building Blocks

## Takeoff Point

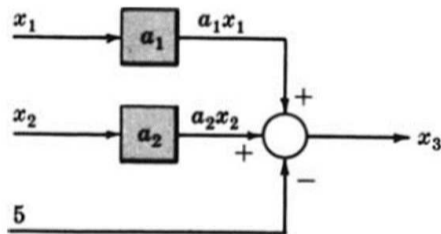
- used for signal branching to have the same signal or variable as input to other blocks
- This permits the signal to proceed unaltered along several different paths to several destinations.



# Block Diagram Building Blocks

## Example

- Consider the following block diagram in which  $x_1, x_2, x_3$ , are variables, and  $a_1, a_2$  are transfer functions:



The output  $x_3$  is calculated as:

$$x_3 = a_1 x_1 + a_2 x_2 + 5$$

# Block Diagram Building Blocks

## Example/Quiz

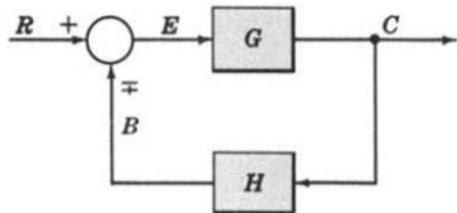
- Draw the Block Diagrams of the following equations.

$$x_2 = a_1 \frac{dx_1}{dt} + \frac{1}{b} \int x_1 dt$$

$$x_3 = a_1 \frac{d^2 x_1}{dt^2} + 3 \frac{dx_1}{dt} - bx_1$$

# Important Definitions

## Feedback Control System



- Tracking error:  $E(s) = R(s) - B(s)$
- Forward/Direct transfer function (FTF):  $\frac{C(s)}{E(s)} = G(s)$
- Loop transfer function (LTF):  $\frac{B(s)}{E(s)} = G(s)H(s)$
- Closed-loop transfer function (CLTF):  $\frac{C(s)}{R(s)} = ??$

# Important Definitions

## Characteristic Equation

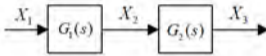
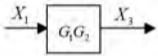
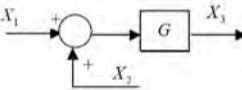
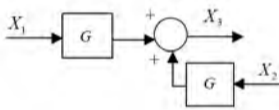
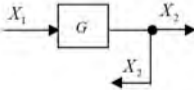
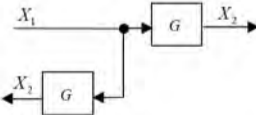
- The **control ratio** is the closed loop transfer function of the system.
- The denominator of closed loop transfer function determines the system **characteristic equation** as:

$$\frac{C(s)}{R(s)} = \frac{G(s)}{1 \pm G(s)H(s)}$$
$$1 \pm G(s)H(s) = 0$$

# Block Diagram Algebra

## Reduction techniques

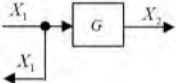
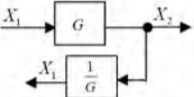
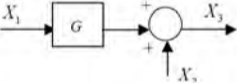
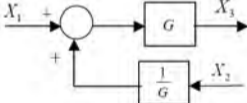
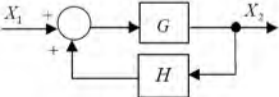
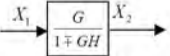
Block Diagram Transformations [Taken from Dorf & Bishop Textbook]

Transformation	Original Diagram	Equivalent Diagram
1. Combining blocks in cascade		
2. Moving a summing point behind a block		
3. Moving a pickoff point ahead of a block		

# Block Diagram Algebra

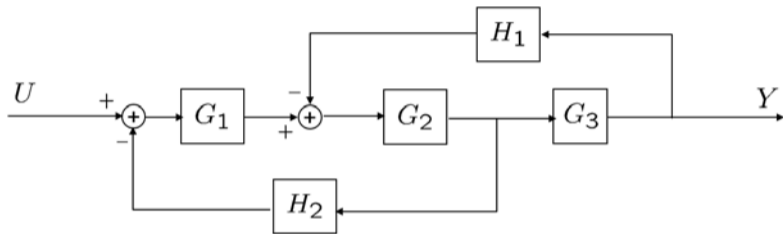
## Reduction techniques

Block Diagram Transformations [Taken from Dorf & Bishop Textbook]

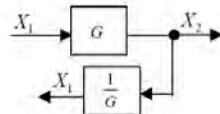
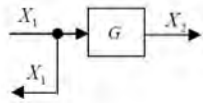
Transformation	Original Diagram	Equivalent Diagram
4. Moving a pickoff point behind a block		
5. Moving a summing point ahead of a block		
6. Eliminating a feedback loop		

# Block Diagram Algebra

## Examples



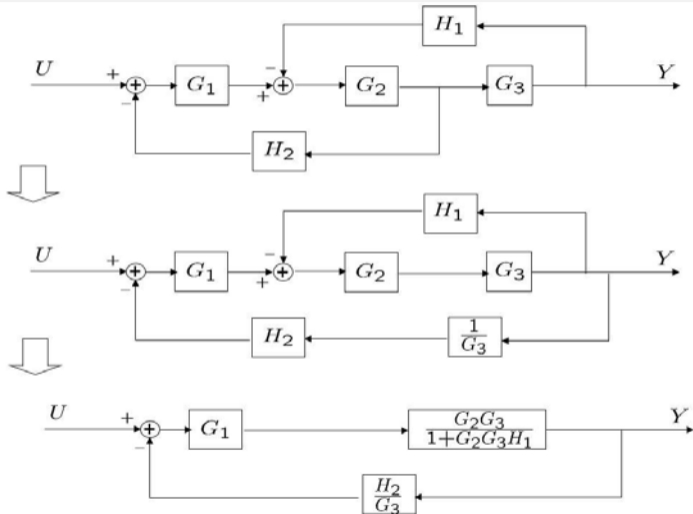
- Find the CLTF utilizing the previous transformations
- Hint: use property 4 (see previous slide)
- Property 4: sliding a branch point past a function block





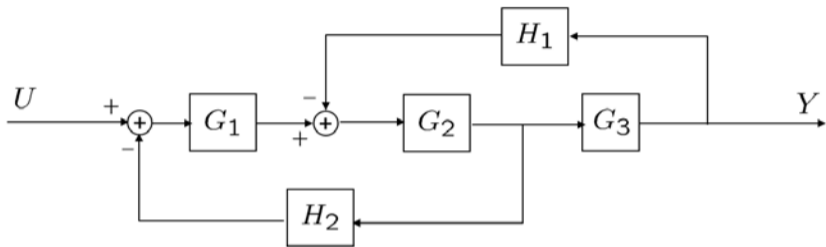
# Block Diagram Algebra

## Examples

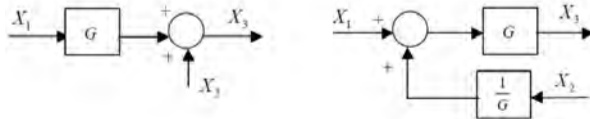


# Block Diagram Algebra

## Examples

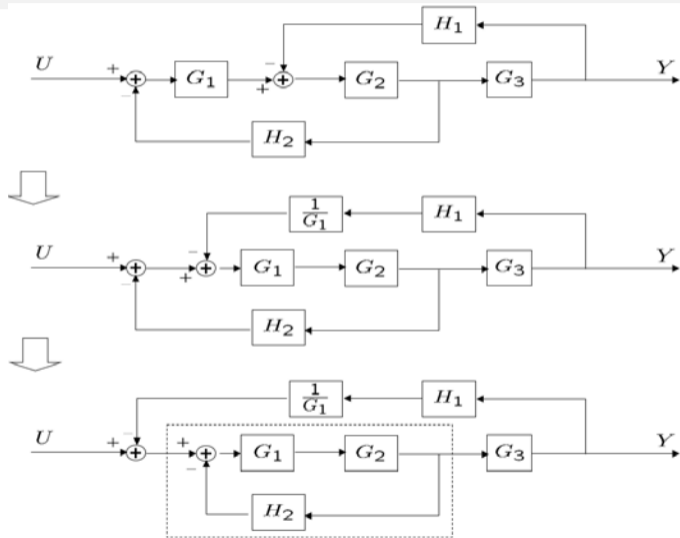


- Can we use another property?
- Yes, we can use Property 5 (moving a summing point ahead of a block)



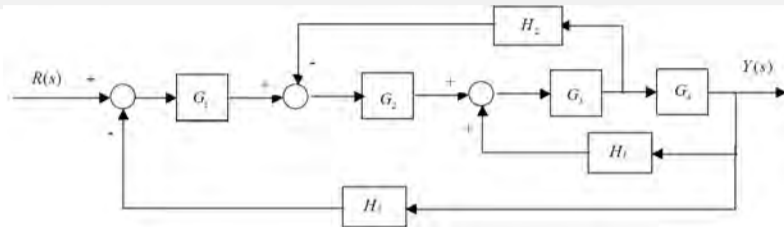
# Block Diagram Algebra

## Examples

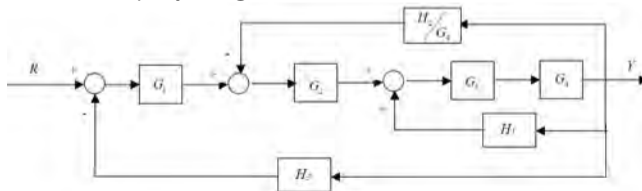


# Block Diagram Algebra

## Examples

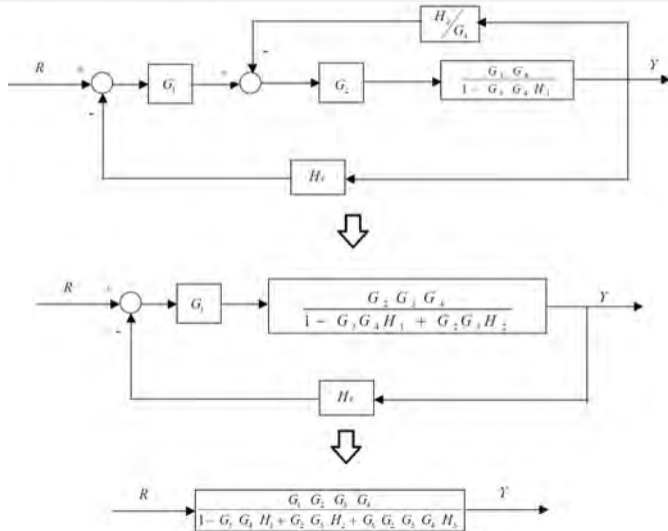


- **Solution:** First, let's move  $H_2$  behind block  $G_4$  so that we can isolate the  $G_3 - G_4 - H_1$  feedback loop
- Again, we use Property 4 to get:



# Block Diagram Algebra

## Examples



# Thanks for your attention.

## Questions?

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